



11/10/05

Date

FEE TRANSMITTAL				Complete if Known					
for FY 2005 Patent fees are subject to annual revision.					Application Number 10/686,410				
					Filing Date			ober 14, 2003	
					First Named Inver		hwari P. Kor	naria	7.
Applicant claims small entity status. See 37 CFN 1.27.					Art Unit	2.1	ot assigned		
TOTAL AMOUNT OF PAYMENT (\$)					Attorney Docket N		217160		
METHOD OF PAYMENT (check all that apply)									
☐Check [	□ Check □ Credit card □ Money Order □ None □ Other (please identify):								
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP									
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)									
	arge fee(s)					fee(s) indicated l	below, except	for the	filing fee
				underpayment of fee(	s) 🛛 Credit a	ny overpayment	S		
		< §§ 1.16,	1.17, 1	.18 and 1.20.					
FEE CALCU	LATION								
Large Er	n <u>tity</u>	Small	Entity						
Fee	Fee	Fee	Fee	Fee Description	· ·			•	Caa Daid
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1051	130	2051	65	Surcharge - late	filing fee or	oath		I	
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2053	130	2053	130	Non-English spe	ecification				
1251	120	2251	60	Extension for rep	ply within firs	t month			
1252	450	2252	225	Extension for rep	ply within sec	cond month			
1253	1,020	2253	510	Extension for rep	ply within thir	d month		ļ	
1254	1,590	2254	795	Extension for rep	ply within fou	rth month			
1255	2,160	2255	1,080	Extension for rep	ply within fifth	n month			
1401	500	2401	250	Notice of Appea	ı				
1402		2402		Filing a brief in s	support of an	appeal			
1403		2403		Request for oral	hearing				
1451		2451		Petition to institu	ute a public u	ise proceedii	ng		
1460		2460		Petitions to the	Commissione	er			
1807	50	1807	50	Processing fee	under 37 CFI	R 1.17(q)			
1806	180	1806	180	Submission of Ir	nformation D	isclosure Str	nt		
1809	790	1809	395	Filing a submission	on after final re	ejection (37 CF	R § 1.129(a	))	
1810	790	2810	395	For each addition	al invention to	be examined	(37 CFR § 1.	129(b))	
Other f	ee (spe	cify)					· · · · · · · · · · · · · · · · · · ·		
_				. 1		SUB	TOTAL (2)	(\$)	
SUBMITTE	D BY						Comp	lete (if ap	plicable)
Name (Print/Type) Mark L. Watson Registration No. (Attorney/Agent) 46,322 Telephone (303) 740-1980									

Signature



Docket No.: 42P17160

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

ESHWARI P. KOMARLA, ET AL.

Application No.: 10/686,410

Filed: October 14, 2003

For: **DATA SECURITY** 

Art Group: 2131

Examiner: Not assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated October 24, 2005 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY OKOLOFF, TAYLOR & ZAFMAN LLP

Mark L. Watson, Reg. No. 46,322

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (303) 740-1980

Date: November 10, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Clan Schwene

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Date

11-10-05

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

	Complete if Known	$\overline{}$
	Complete if Known	
Application Number	10/686,410	
Filing Date	October 14, 2003	
First Named Inventor	Eshwari P. Komarla	
Art Unit	2131	
Examiner Name	Not assigned	
Attorney Docket Number	42P17160	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-2003/084290 A1	05-01-2003	Kumar et al.			
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	FOREIGN PATENT DOCUMENTS								
Examiner Cite No.¹	Cito	Foreign Patent Document			Pages, Columns, Lines,	т.			
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear				
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Examiner	-	Date	
Signature		Considered	

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449A/PTO			Complete if Known		
INEO	RMATION D	NECI C	CUDE	Application Number	10/686,410	
INFO	KIVIA I ION L	JIGULU	JOURE	Filing Date	October 14, 2003	
STAT	<b>EMENT BY</b>	<b>APPLI</b>	CANT	First Named Inventor	Eshwari P. Komarla	
				Art Unit	2131	
	<u> </u>	1 1		Examiner Name	Not assigned	
Sheet	2		2	Attorney Docket Number	42P17160	

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		Patterson, D.A. et al., "A Case for Redundant Arrays of Inexpensive Disks (RAID)", June 1, 1988, pages 109-116, XP000996447.				
		PCT Search Report, PCT/US2004/033068, mailed October 24, 2005.	_			

Examiner	Date	-	
Signature	Consid	lered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450